



EVANS SCHOOL OF PUBLIC AFFAIRS
UNIVERSITY *of* WASHINGTON

**PH.D. IN PUBLIC POLICY AND
MANAGEMENT
STUDENT HANDBOOK
2011-2012**

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Preface

This handbook provides students with general information about the Evans School Ph.D. in Public Policy and Management curriculum, policies, and procedures. It has important information about the school's academic policies and requirements. The handbook is also available on the Evans School website at: <http://evans.washington.edu/students/handbooks>.

By University of Washington (UW) regulations, all students must complete the Ph.D. degree program within 10 years of matriculating at the Evans School.

For more general information on the school's mission, history, research, teaching, and public service initiatives, please see the school's website: <http://evans.washington.edu>.

All students should review this material and consult this handbook as they progress through the Ph.D. program. This document is intended to accompany the UW *Graduate School's Policies and Procedures for Graduate Students* available online at http://www.grad.washington.edu/area/currstuds_f.htm. Please consult both for comprehensive information about policies and requirements.

No handbook or website can answer all the questions students may have, and certainly cannot replace contact with the faculty and staff there to serve them. The following faculty and staff may be helpful in answering your questions or providing assistance:

- William Zumeta, professor and Ph.D. program faculty coordinator
- Jason Smith, assistant dean of student services and admissions
- Barry Wall, director of admissions
- Jared Eyer, Ph.D. program coordinator
- Heather Krasna, director of career services
- Joaquin Herranz, Jr., associate professor and graduate program coordinator

These individuals are responsible for administering the Evans School's academic and student services programs. They can answer questions about enrollment in the program, Ph.D. policies, the curriculum and its requirements, or any matter related to academic progress at the school. In addition, each new student is assigned a faculty advisor. The advisor is a valuable resource on questions about classes, resources on campus for academic study, or other matters of graduate-level academics, and students are urged to use their advisor often and wisely.

Program Overview

The Ph.D. in Public Policy and Management is a research doctoral program that prepares its graduates for careers as faculty in university programs in public policy and management, and for research positions in the public and nonprofit sectors. The Ph.D. program seeks to prepare scholars who can advance the state of research, practice and the formation and evaluation of policies in the academic, nonprofit, and public sectors. It takes an interdisciplinary perspective in its curriculum and draws broadly on theoretical and methodological foundations in policy analysis and management.

Section I: Ph.D. Degree Requirements

Ph.D. students must complete a minimum of 90 credits to earn the Ph.D. degree, generally through 60+ credits of coursework, and a minimum of 27 dissertation credits. The degree can be completed in four years, generally through two years of coursework with the remaining time for the dissertation research, writing, and defense.

Doctoral Degree Requirements

In order to qualify for the doctoral degree, it is the responsibility of the student to meet the following UW Graduate School and Evans School requirements:

1. Completion of a program of study and research as planned by the graduate program coordinator (William Zumeta, Ph.D. program faculty coordinator) in the student's major department or college and the Supervisory Committee. At least 18 credits of coursework at the 500 level and above must be completed prior to scheduling the General Examination. Note: The Evans School requires 63 credits of coursework and satisfactory completion of the Major Area Paper prior to scheduling the General Examination.
2. Completion of 90 credits, 60 of which must be taken at the University of Washington. With the approval of the degree-granting unit (the Evans School), an appropriate master's degree from an accredited institution may substitute for 30 credits of enrollment. Note: The Evans School does not accept prior master's degree credits in the Ph.D. program. It is possible to waive a particular core course with the approval of the Ph.D. program faculty coordinator if prior coursework is similar.
3. Numerical grades must be received in at least 18 quarter credits of course work taken at the UW prior to scheduling the General Examination. The UW Graduate School accepts numerical grades in approved 400-level courses accepted as part of the major, and in all 500-level courses. A minimum cumulative GPA of 3.00 is required for a graduate degree at the University. Note: The Evans School requires completion of 63 credits prior to scheduling the General Examination. All courses that meet requirements (except proseminars) stated in this Handbook must be taken for a numeric grade. This includes core courses and those that meet the requirements in Research and Analytic Methods and the Area of Specialization, and includes any independent study courses that are used to meet these requirements.
4. Creditable passage of the General Examination. Registration as a graduate student is required the quarter the exam is taken and candidacy is conferred. The General Exam is open to the public by University policy. Committee chairs should notify the faculty and other PhD students of the scheduled date well in advance.

5. Preparation of and acceptance by the Dean of the UW Graduate School of a dissertation that is a significant contribution to knowledge and clearly indicates training in research. Credit for the dissertation ordinarily should be at least one-third of the total credit. The candidate must register for a minimum of 27 credits of dissertation over a period of at least three quarters. At least one quarter must come after the student passes the General Examination. With the exception of summer quarter, students are limited to a maximum of 10 credits per quarter of dissertation (800).
6. Creditable passage of a Final Examination, which is usually devoted to the defense of the dissertation and the field with which it is concerned. The General and Final Examinations cannot be scheduled during the same quarter. Registration as a graduate student is required the quarter the exam is taken and the degree is conferred. The Final Examination is open to the public by University policy. Committee chairs should notify the faculty and other PhD students of the scheduled date well in advance.
7. Completion of all work for the doctoral degree within ten years. This includes quarters spent on-leave or out of status as well as applicable work from the master's degree from the UW or a master's degree from another institution, if applied toward one year of resident study.
8. Registration maintained as a full- or part-time graduate student at the UW for the quarter in which the degree is conferred (see detailed information under Final Quarter Registration).
9. A student must satisfy the requirements that are in force at the time the degree is to be awarded.

Students should become familiar with UW Graduate School Doctoral Degree Policies at

<http://www.grad.washington.edu/policies/doctoral/requirements.shtml> This site provides information on:

doctoral degree requirements, appointment of doctoral supervisory committees, doctoral supervisory committee roles and responsibilities, general examination and admission to candidacy for doctoral degrees, candidate certificates, appointment and responsibilities of doctoral reading committees, final examinations - dissertation defense, and doctoral dissertations.

A. Year One

The first-year core curriculum prepares students to undertake independent scholarship in public policy and management. Prior coursework in calculus is required before matriculation. In addition to the course requirements below, students have some restricted elective options in the first year, along with more electives available in the second year (see sample course schedule in Section C).

- **Research Design (PPM 502).** This course provides a doctoral-level applied social sciences background that combines theoretical and practical skills for conducting research. Students are exposed to issues involved in research decisions and to diverse problem-solving strategies at various stages of the research process. The course examines the logic of scientific inquiry, conceptualization and measurement of social phenomena, principles of research design, and alternative methods for describing, analyzing, and modeling primary and secondary data.
- **Institutional Perspectives on Management (PPM 504).** This foundation course prepares doctoral students for careers in research and teaching public policy, management, and leadership. It examines competing theories of institutional design and action that illuminate pressing challenges of policy, management, and leadership.
- **Public Policy Processes (PPM 508).** This course is concerned with research about policy processes. It is structured as a research seminar that combines theoretical frameworks for studying policy processes with discussion of student research. Options for this course include domestic and comparative institutional perspectives
- **Advanced Microeconomics for Policy Analysis (PPM 506).** This course provides a doctoral-level introduction to microeconomic theory and tools that are used to inform public policy analysis. The course covers individual and firm behavior, decisions under uncertainty, information, strategic behavior, property rights, transaction costs, externalities, public goods, and related topics.
- **Public Policy Analysis (PPM 510).** This course engages students in an explicit consideration of the role of policy research and analysis in public decision-making and a review and synthesis of the policy research, analysis, and evaluation literature. Students assess the contributions and limitations of seminal and recent research, gain exposure to cutting-edge techniques, and identify unresolved and emerging research questions that offer opportunities for future research.
- **Quantitative Methods (SOC 505 & 506).** Two core courses developing research skills in quantitative analysis are provided in the Department of Sociology. These two courses, offered in Winter and Spring Quarters, provide a foundation in the development, application, and diagnosis of linear models. Alternatively, students may opt for the quantitative sequence offered in Economics (ECON 580 and 581).
- **Proseminar in Public Policy and Management (PPM 500).** This weekly course provides a means to become familiar with research agendas and opportunities in this field and at the Evans School as well as other aspects of socialization into the academic process, including teaching, grant writing, and publishing.

Qualifying Examination

All students will take the written qualifying examination in mid-September after their first year in the program. The examination requires students to demonstrate mastery of the material in the seven core courses taken during year one and to integrate knowledge across these courses. The exam is offered in two 4-hour blocks on successive days and is closed book in format with a single (two-sided) sheet of notes permitted for each section. No access to the Internet is permitted. Students must pass all sections of the exam to continue in the program. In the case of a failing grade on a section or two, the student will be permitted a single retake of that (those) section(s) which will be scheduled in the December immediately following the September exam. A student who fails more than two sections on the initial exam or who fails either section on the retake will not be permitted to continue in the Ph.D. program. Grades on individual questions and the qualifying examination as a whole are as follows: high pass, pass, and fail. In cases where the student's response is passing but contains notable weaknesses, these weaknesses will be communicated to the student with advice to remedy them.

B. Year Two

Advisory Committee

With the aid of his/her advisor, each student should form an Advisory Committee by the Spring Quarter of the second year to serve an expanded role in advising the student, particularly on elective coursework, the second year paper, and the development of a research agenda leading to the dissertation. The committee should consist of a primary advisor and one or two additional members. The primary advisor and at least one additional member must be from the Evans School (these members may have joint appointments in other units). A third member may be from outside the Evans School. The primary advisor and at least one other member of the committee must also be members of the UW Graduate Faculty. Members of this Advisory Committee will often form the nucleus of the official Supervisory Committee, appointed in year three, that supervises the General Examination and the Dissertation phases of the student's Ph.D. program.

Teaching

Students are expected to develop teaching competence during their Ph.D. program. This is initiated by participating in the Teaching Assistant Conference on Teaching and Learning (1 credit), offered by the Center for Instructional Development and Research (CIDR), which takes place just before the Autumn Quarter of each year, or another course or seminar with similar aims. This workshop should normally be completed prior to serving as a Teaching Assistant (TA). In addition, all students are expected to TA for at least one course at the Evans School or elsewhere on campus and/or to teach a course of their own before graduation. A student may request a waiver from this requirement if he or she has had equivalent prior teaching experience in public policy or public management or a closely related field. In an effort to improve students' preparation for teaching roles, the Evans School and UW offer additional teaching preparation opportunities for course credit.

For students who receive funding offers from the school that include teaching responsibilities, the expectation is that the student will TA for three quarters during their second or third year, as indicated by the Evans School. In some cases, qualified students may be asked to be a TA during their first year. TA assignments will be made by

the Associate Dean for Academic Affairs in consultation with the Ph.D. faculty coordinator and the faculty. Students may choose to be a TA for more than the minimum three quarters, teaching opportunities permitting.

Second Year Coursework Requirements

1. **PPM 500B.** This seminar is intended to provide students with assistance in conceptualizing and planning their Major Area Paper as well as to provide opportunities to practice presenting research and providing constructive feedback to colleagues.
2. **The Data Analysis Practicum and at least two additional courses in research and analytic methods that have been approved by the student's advisor.** At least one of these two courses must be a qualitative methods course. The second may be either a quantitative or qualitative methods course.

Data Analysis Practicum (PPM 512). This course builds on and integrates methodological aspects of the core curriculum and develops capacity to undertake independent research. Students will read, critique, and replicate portions of selected empirical papers from a range of scholarly areas that contribute to this Ph.D. program, such as sociology, political science, management, economics, and risk analysis. The exercises will be selected to provide opportunities to deal in-depth with issues of research design, data limitations, measurement of key concepts, model specification, and interpretation.

3. **At least three graduate-level courses in a substantive policy area** (e.g. environmental policy, education policy, international development, etc.). The Ph.D. program recommends that these courses be taken from at least two departments (including professional schools) and cross disciplinary lines. These courses should be rigorous enough to enable each student to competently read and critique research in the field of specialization.

The Ph.D. program recommends that students develop significant disciplinary depth in social science by taking three or more graduate-level courses in one discipline (anthropology, economics, geography, political science, psychology, or sociology). These courses are in addition to discipline-based courses that focus on research methods. They may overlap with substantive policy area courses. Students may want to take the field examination in their external discipline concentration from the relevant unit on campus, but this is not required.

The Ph.D. program recommends that students specializing in public or nonprofit management build their knowledge of how management tools are applied in context by taking at least three graduate-level courses in substantive policy areas. Depending on student interests, the courses may focus on more than one area.

The course planning should be done in close consultation with the student's advisor and committee.

Major Area Paper

Students must complete a Major Area Paper (MAP) approved by their Advisory Committee before being permitted to take the General Examination. The MAP requires students to demonstrate competence in their selected area(s) of concentration and in relevant analytic methods and is designed to provide a springboard for moving on to prepare the dissertation proposal. While the specifics of the MAP assignment may vary somewhat according to the student's chosen focus and the Advisory Committee's guidance, the general contours are as follows. (In addition to the student's Advisory Committee, the second year proseminar also provides some guidance to students as they begin working on the MAP.)

In the MAP, the student develops a critical review of the literature in his or her area of focus. The review should critically analyze and integrate extant research in the area and identify potential research opportunities. The review should demonstrate critical familiarity with the range of theory and methods in the area. It should demonstrate that the student is prepared to carry out the independent conceptualization and research needed to develop a sound and workable dissertation proposal. The paper should be approximately 30 pages in length and be submitted to the student's Advisory Committee no later than September following the second year in the program. Students may take up to four faculty-supervised independent study credits (PPM 600) for readings related to preparing the MAP.

Each member of the student's Advisory Committee should provide oral or written feedback within two to three weeks with this process overseen by the Advisory Committee chair. The student will revise the paper as necessary and resubmit it to the committee. Within two to three weeks after the resubmission the student and committee will meet to discuss the paper and the student's plans for the next stage of the program. Upon approval of the MAP, the Advisory Committee chair notifies the Ph.D. faculty coordinator in writing (using the "Major Area Paper Completion" form) that the MAP has been approved and the student is ready to begin work on the dissertation proposal. These steps must be completed before the student forms the official Supervisory Committee required by the UW Graduate School and schedules the General Examination.

C. Course Schedule

Sample Course Schedule for Years One and Two

YEAR ONE			YEAR TWO		
Quarter	Course	Credits	Quarter	Course	Credits
Autumn	PPM 506: Advanced Microeconomics for Policy Analysis	4	Autumn	Quantitative and qualitative methods courses: policy/management and disciplinary specialization courses	6-8
	PPM 504: Institutional Perspectives on Management	4		PPM 512: Data Analysis Practicum	4
	PPM 502: Research Design	4		PPM 500B: Proseminar in Public Policy and Management	1
	PPM 500A: Proseminar in Public Policy and Management	1			
		13			10+
Winter	PPM 508 : Public Policy Processes	4	Winter	Quantitative and qualitative methods courses; policy/management and disciplinary specialization courses	9
	SOC 505: Applied Social Statistics	3		PPM 500B: Proseminar in Public Policy and Management	1
	PPM 500A: Proseminar in Public Policy and Management	1			
	Elective(s)	1-4			
		10+			10+
Spring	PPM 510: Public Policy Analysis	4	Spring	Quantitative and qualitative methods courses; policy/management and disciplinary specialization courses	9
	SOC 506: Quantitative Techniques in Sociology	3		PPM 500B: Proseminar in Public Policy and Management	1
	Elective(s)	2-4			
	PPM 500A : Proseminar in Public Policy and Management	1			
		10+			10+
TOTAL CREDITS YEAR ONE		33+	TOTAL CREDITS YEAR TWO		30+

Course Schedule Notes

- *Students who have completed prior coursework similar to that of a particular core course may request approval from the Ph.D. Program faculty coordinator for a course substitution.*
- *The University requires a minimum credit load of 10 credits per quarter for full time status. As shown above, in some quarters PPM students will take more than 10 credits.*
- *Students may take second year methods and policy or management courses in any term in which they are offered.*
- *Students are encouraged to enroll in Evans MPA courses where the subject matter is appropriate to their interests and the course is taught by a Ph.D. level faculty member, but the course as experienced by them will generally be somewhat different from what is experienced by MPA students. Ph.D. students may be asked to do additional readings, to write a different type of paper (e.g., more theoretical or methodological), or a paper that contains a more extensive literature review or that proposes questions and designs for future research. It is recommended that the Ph.D. student and faculty member confer before the student enrolls to make sure there is a meeting of minds about the student's goals and needs and the faculty member's ability to help meet them.*
- *Scheduling is subject to change on an annual basis. This schedule is meant to be an example.*

D. Year Three And Beyond

Establishing a Supervisory Committee

One quarter prior to scheduling the General Examination, the student needs to formalize his or her Supervisory Committee, including appointment of a Graduate School Representative (GSR), by filing the appropriate forms with the UW Graduate School. This can be done online at this link <http://www.grad.washington.edu/mygrad/student.htm>. Please review UW Graduate School Memorandum No. 13: <http://www.grad.washington.edu/acad/gsmemos/gsmemo13.htm> -- Supervisory Committee for Graduate Students.

The doctoral supervisory committee should consist of a minimum of four members, at least three of whom (including the Chair and the GSR) must be members of the Graduate Faculty with an endorsement to chair doctoral committees. The scheduling and location of the General Examination must be filed by the student and approved by all members of the Supervisory Committee and the GSR at least three weeks prior to holding the General Examination.

General Examination

In order to prepare for the General Exam, students are permitted to take up to 10 PPM 800 (dissertation) credits AFTER approval of their Major Area Paper and BEFORE taking the General Examination.

The General Examination is an oral defense of a written dissertation proposal. The student may also be questioned about related literature in the area of the dissertation. Upon passing the Examination, the student will advance to candidacy for the Ph.D.

The dissertation proposal should provide a plan for the dissertation that the student develops in consultation with his or her Supervisory Committee (see below), and which reflects a shared expectation of what the dissertation will involve. The proposal should include a clear statement of the research questions and

hypotheses and their significance, review of the literature, research design, description of the data and analytic methods to be used in the study, and expectations of the contributions to be made by the dissertation.

The timing of the General Examination will depend on the progress of the student in working with the Supervisory Committee to develop a suitable proposal. In general, the proposal and defense should be completed by the end of year three in the Ph.D. program.

Dissertation

Once the student passes the General Examination, he or she advances to the level of doctoral candidate, forms a Reading Committee from among the members of the Supervisory Committee to assist closely with the dissertation work, and develops a dissertation based on the proposal approved at the General Examination. The final step in the Ph.D. program is the formal presentation and defense of the dissertation before the Supervisory Committee.

Appointment and Responsibilities of a Doctoral Reading Committee

After the General Examination, the Graduate Program Coordinator uses MyGrad Program to inform the Dean of The Graduate School of at least three members of the supervisory committee who will serve on the reading committee. At least one of the members of the reading committee must hold an endorsement to chair doctoral committees. The reading committee is appointed to read and approve the dissertation. It is the responsibility of a reading committee to (a) ensure that the dissertation is a significant contribution to knowledge and is an acceptable piece of scholarly writing; (b) determine the appropriateness of a candidate's dissertation as a basis for issuing a warrant for a Final Examination; (c) approve a candidate's dissertation and; (d) sign two original Signature Pages that are placed within a dissertation after all revisions are completed.

The dissertation may be of the traditional type with a series of chapters addressing a single research problem or theme. Alternatively, it may consist of a series (usually three) of analytical papers on related themes designed for eventual publication. In this case the dissertation should also include concise introductory and concluding chapters positioning the papers and explaining their connections, as well as the broader implications of the student's analyses. In either case the content of the dissertation must be solely authored by the student and represent significant independent work. The optimal form for the dissertation will be decided by the student and his or her supervisory committee working closely together.

Final Examination – Dissertation Defense

The final step in the Ph.D. program is the formal presentation and defense of the dissertation before the Supervisory Committee. This process follows the normal protocol as set by the Graduate School. A Final Examination may be scheduled if: (a) a student passed a General Examination in a previous quarter; (b) a reading committee is officially established with the Graduate School; (c) the reading committee has read an entire draft of the dissertation and; (d) the entire supervisory committee has agreed that the student is prepared and has approved the student to schedule a Final Examination. At least four members of a supervisory committee

(including the Chair, Graduate School Representative, and one additional Graduate Faculty member) must be present at the examination.

The Final Exam is open to the public by University policy. Committee chairs should notify the faculty and other PhD students of the scheduled date well in advance.

Section II: Forms and Administrative Information

Plan of Study Form

The Evans School Plan of Study (POS) form is designed to help students, faculty advisors, and the School's Student Services Office plan and track students' progress toward the Ph.D. degree. It also provides useful information for documenting students' professional credentials and accomplishments. The Program of Study form reflects the courses a student will take or has taken to fulfill all the requirements of the degree. Through periodic meetings with his or her advisor to discuss the POS, each student can reflect upon the timing and coherence of his or her course of study. The POS form, with appropriate faculty signature, must be submitted to evansphd@uw.edu by September 30 of each year and updates should be submitted as the student achieves key milestones (e.g. pass qualifying exam).

Travel Award Request Form

The School recognizes the value of attendance at scholarly conferences for PhD students.

According to the School's PhD student travel policy, to receive Evans funding the student must have a paper or poster accepted for presentation at a scholarly conference and must also apply for funding through GPSS and GSFEI as well as Evans School funding. Travel Award Evans School funding will be limited to \$500 less any funding received from the other source. In cases of costly foreign travel, the outside funds may be added to the Evans School funding.

Academic Calendar

Quarterly schedules, dates of instruction, registration deadlines, deadlines for adding or dropping a course, tuition and fee deadlines, final exam schedule, grade deadlines, and holidays can all be found at the University of Washington's Academic Calendar website at: <http://www.washington.edu/students/reg/calendar.html>

On-Leave Status

Students must be accounted for (i.e., either registered or officially on-leave) during every term but Summer Quarter, from the time they are accepted to the Evans School until they complete their PhD degree. Any student who does not apply for on-leave status before going on-leave for one or more quarters during the academic year will be dropped from the UW Graduate School and will need to request reinstatement to the UW before returning.

Filing for On-Leave Status

If it is necessary to take a leave of absence from the University for professional or personal reasons, a student should submit an on-line request to go on-leave during the quarter prior to the term that will be missed. Students can only apply for on-leave status one quarter at a time. Students must have completed at least one quarter of graduate study at the UW prior to filing an on-leave petition. In order to get approval, students must first contact their faculty advisor to let that person know of their intention to go on-leave. Second they must submit an on-line request at <http://www.grad.washington.edu/mygrad/student.htm> as early as two weeks prior to the start of the quarter, but no later than midnight PST of the last day of instruction. Once the student has submitted the request and paid a nonrefundable fee (425.00 a quarter), the Graduate Program Coordinator (GPC) will approve or deny the request. This process must be repeated each quarter if the student seeks to go on leave for multiple quarters consecutively (excluding summer quarter).

Further information for filing for on-leave status is available at:
<http://www.grad.washington.edu/policies/general/leave.shtml>

Returning from On-Leave Status

Graduate students who have completed a quarter on leave must either register during Registration Period II, or submit another on-leave request. If a student does not register or go on-leave then they will be dropped from the University and will have to submit a reinstatement petition and pay a \$250 reinstatement fee.

Reinstatement to the Graduate School & Program

Students previously registered in the Graduate School who have failed to maintain graduate student status (i.e. on-leave status was not secured and registration was not maintained) but wish to resume studies within the same degree program must file a request for reinstatement to the Graduate School. Requests will first be reviewed and approved by the department. Once the department has approved the request and the Graduate School has confirmed the students' eligibility for reinstatement, the students will be notified to pay a non-refundable \$250.00 reinstatement fee before registering for the requested quarter of reinstatement. Reinstatement petitions can be submitted on-line at <http://www.grad.washington.edu/mygrad/student.htm>

Withdrawing from UW; Adding, Dropping, and Auditing Courses

Withdrawal	<p>Students withdrawing from UW (i.e., dropping <i>all</i> of their courses) once a quarter's work is in progress MUST obtain a withdrawal form from the Withdrawal Office in Schmitz Hall. Students who do not submit the on-leave form will be dropped by the UW Graduate School and must re-apply before returning.</p> <p>Students should keep the Evans School office informed of their current address and phone number.</p>
Dropping a Course:	<p>Graduate students withdrawing officially from a course during the first two weeks of a quarter shall have no entry on their academic record. No drops will be permitted after the 2nd week of the quarter. (Exception: Each student will be permitted to drop one course between the beginning of Week 3 and the end of Week 7 of any one quarter each year September-August, i.e. one such drop per year. If a student uses this drop option, the grade "W" shall be recorded by the Registrar's Office for the dropped course.) The Registrar's Office will charge a late fee for courses dropped after the first week of the quarter.</p> <p>The grade "W" shall count neither as completed credit nor be computed into grade point averages.</p>
Hardship Withdrawal:	<p>Students may submit a written petition to the Office of the Registrar for a hardship withdrawal after the 14th day of the quarter. Approved hardship withdrawals will be entered on the transcript with a grade of HW. The \$20 change-of-registration fee will be assessed, plus any tuition forfeiture.</p>
Auditors:	<p>Students must first register for the class they wish to audit, and then go to Schmitz Hall in person to select the audit grade option. No entry is made on the permanent record for courses audited.</p>
Adding a Course:	<p>Graduate students may add courses through the third week of the quarter. A late fee will be charged by the Registrar's Office for all courses added after the first week of the quarter. A special petition process exists for adding courses through the end of the quarter.</p>

UW Graduate School Memorandum No. 19

Grading System for Graduate Students

(Revised February 2002)

This document is available online through the Graduate School at <http://www.grad.washington.edu/acad/gsmemos/gsmemo19.htm>.

Please Note: In addition to the UW Graduate School minimums above, Evans School Ph.D. program requires a minimum cumulative grade-point average of 3.0 for graduation. The Evans School also requires a minimum of 3.0 in each course that is counted toward a Ph.D. degree and a cumulative grade-point average of 3.0 is required for continuation in good standing.

Evans School Guidelines for Course Grades

This statement describes the guidelines for numerical grades for courses taken in the Evans School.

4.0	Excellent and exceptional work for a graduate student. Work at this level is unusually thorough, methodologically sophisticated, and well written. Work is of good professional quality, shows an incisive understanding of the major policy and management issues and demonstrates a clear recognition and mastery of the appropriate analytical approaches to address the problems and questions.
3.7	Strong work for a graduate student. Work at this level shows signs of creativity and is thorough and well reasoned. It indicates a strong understanding of appropriate methodological or analytical approaches and demonstrates a clear recognition and good understanding of the salient policy and management issues and problems.
3.4	Competent and sound graduate student work. Work is well reasoned and thorough and methodologically and analytically sound, but is not especially creative or insightful, nor technologically or analytically sophisticated. It shows adequate understanding of the policy and management issues and problems, although that understanding may be somewhat incomplete. This grade indicates neither unusual strengths nor exceptional weaknesses.
3.2	Adequate graduate student work. Basically competent performance, although the work shows some weaknesses. Work is moderately thorough and well reasoned, but there is some indication that the understanding of important policy or management issues is less than complete. It may also be inadequate in other ways, such as quality of reasoning, writing, or incomplete analysis. Methodological or analytical approaches are generally adequate but have one or more weaknesses or limitations.
3.0	Borderline graduate student work. This work barely meets the minimal expectations for a graduate student in the course. The understanding of basic policy or management issues is incomplete and the methodological or analytical work performed is minimally adequate. The writing and reasoning barely qualify for professional quality work. Overall performance, if consistent in graduate courses, would barely suffice to sustain graduate status in good standing and does not reflect long-term professional quality work.
2.6	Deficient graduate work. This work does not meet the minimal expectations for a graduate student in the course. Work is inadequately developed and flawed by numerous errors and misunderstandings of important issues. Methodological work or analysis is weak and fails to demonstrate knowledge or basic skills competence expected of graduate student work. May also reflect unprofessional level of writing, organization, or reasoning skills. This grade means that the course will not count towards graduation.

Evans School Guidelines for Satisfactory and Unsatisfactory Academic Performance

These guidelines stipulate the conditions for satisfactory and unsatisfactory academic performance in the Evans School of Public Affairs. The purpose of the guidelines is to specify the rules clearly in order to avoid confusion and misunderstanding. Student Services staff, the graduate program coordinator, and the faculty are available to assist all students in maintaining satisfactory academic performance at the Evans School. Tutoring help may also be available for specific courses; please consult with Student Services for more information on tutoring availability.

Students who fail to meet the requirements for satisfactory academic performance will be notified in writing of their unsatisfactory performance by the graduate program coordinator.

Satisfactory Progress Expectations:

Ph.D. students are normally expected to complete all the scheduled core courses during their first year in the program and the Data Analysis Practicum (PPM 512) during the second year. Special case exceptions will be considered by the Ph.D. program faculty coordinator in consultation with the student's faculty advisor. Students must pass the first year qualifying examination at the end of the first year to be eligible to continue in the program. Students should normally complete all course work by the end of the second year but exceptions are permitted with the approval of the student's advisor. In any case, course work should be complete by the end of the third year. Students are normally expected to complete the general examination during the third year in the program and begin dissertation work by the beginning of the fourth year (earlier is encouraged).

Satisfactory Performance:

1. A cumulative grade point average (GPA) of 3.0 or higher
2. A grade of 3.0 or higher in each core course (PPM 500, 502, 504, 506, 508, 510, 512, SOC 505 and 506 (or approved alternative).

Unsatisfactory Performance:

1. A cumulative grade point average (GPA) below 3.0: low scholarship

WARN:	Recommended for <i>beginning</i> students whose <i>quarterly</i> GPA and <i>cumulative</i> GPA are below 3.0 but whose grades in all core courses are at least 3.0; full-time students must be in their first quarter at the Evans School, and part-time students must have accumulated fewer than 12 total credits to be eligible. Also recommended for <i>continuing</i> students whose <i>quarterly or cumulative</i> GPA falls below 3.0.
PROBATION:	Recommended for continuing students whose <i>quarterly</i> GPA and/or <i>cumulative</i> GPA are below 3.0 <i>for two successive quarters</i> .

FINAL PROBATION:	Recommended for students whose quarterly and/or cumulative GPA is below 3.0 <i>for three successive quarters</i> . Final probation is used to warn students that they will be <u>dropped</u> at the end of the next quarter unless they improve their performance.
DROP:	Recommended for students whose quarterly GPA is below 3.0 and whose cumulative GPA has been below 3.0 for three successive quarters (excluding the first quarter in the School for full-time students, or the first two or three quarters for part-time students whose total credits are fewer than 12).

2. A core course grade below 3.0: low scholarship

PROBATION:	Recommended for students with a grade below 3.0 in any core course.
DROP:	Recommended for students with a grade below 3.0 in a core course that has been retaken <u>once</u> . This means that students who receive a grade below 3.0 in a core course must retake the <u>same</u> core course and must receive a grade of 3.0 or higher on the first retake, or they will be <u>dropped</u> from the Evans School. Recommended for students who have received grades below 3.0 in two core courses.
NOTIFICATION:	According to UW procedures, students must receive written notification from the graduate program coordinator of unsatisfactory performance (probation, final probation) prior to being <u>dropped</u> from the Evans School. The notification must include the steps required in order for the students to return to satisfactory performance.
APPEAL:	<u>Drop</u> actions can be appealed to the Evans School. After hearing the appeal, the Appeals Committee will make a recommendation to the dean of the Evans School s and the dean of the UW Graduate School. Appeals beyond this point should follow the process outlined in Graduate School Memorandum No. 33, Academic Grievance Procedure.

The Evans School follows the UW Graduate School’s rules about continuation, review, and termination of students based on their academic performance relative to the Evans School’s guidelines outlined here. For information on the Graduate School’s rules, please see:

<http://www.grad.washington.edu/Acad/gsmemos/gsmemo16.htm>

Academic Grievance Procedure

UW Graduate School Memorandum No. 33

(Revised May 2007)

This document is available online through the Graduate School at <http://www.grad.washington.edu/acad/gsmemos/gsmemo33.htm>

Financial Awards

At the Evans School, financial awards are made by the admissions committee when offers of admission are made. The availability of awards varies from year to year.

Students who receive funding from the Evans School will receive, in addition to a monthly stipend, health insurance coverage at no charge as part of the appointment autumn through spring Quarters (excluding summer Quarters). They will also receive a tuition waiver for autumn through spring Quarters. However, students will be responsible for the remaining student fees of approximately \$250 for each quarter. These appointments require registration for a minimum of ten graduate-level credits each term. The appointments stipulate an average of 20 hours of work per week under the direction of a faculty member or course instructor. During some quarters supported students will serve as teaching assistants (rather than as research assistants), normally during their second and/or third year in the program.